

# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Addiese: COMMISSIONER FOR PATENTS P O Box 1450 Alexandra, Virginia 22313-1450 www.wepto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/534,350	10/14/2005	Marcel Aeschlimann	13016/2	4819
25693 7590 6624/2008 KENYON & KENYON LLP RIVERPARK TOWERS, SUITE 600 333 W. SAN CARLOS ST. SAN JOSE, CA 95110			EXAMINER	
			ENSEY, BRIAN	
			ART UNIT	PAPER NUMBER
			2615	
			MAIL DATE	DELIVERY MODE
			06/24/2008	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

# Application No. Applicant(s) AESCHLIMANN ET AL. 10/534,350 Office Action Summary Examiner Art Unit 2615 Brian Ensey -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Pe

1)⊠ Responsive to communication(s) filed on <i>09 May 2005</i> .  2a)☐ This action is FINAL. 2b)⊠ This action is non-final.  3)⊠ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims  4 ∑ Claim(s) <i>1-19</i> is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.  5 ∑ Claim(s) <i>1-19</i> is/are allowed.  6 ☐ Claim(s) is/are rejected.  7 ☐ Claim(s) is/are objected to.  8 ☐ Claim(s) are subject to restriction and/or election requirement.  Application Papers  9 ∑ The specification is objected to by the Examiner.  10 ∑ The drawing(s) filed on <i>09 May 2005</i> is/are: a)☐ accepted or b)⊠ objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d	Period for Reply
1)⊠ Responsive to communication(s) filed on @9 May 2005.  2a)□ This action is FINAL. 2b)⊠ This action is non-final.  3)⊠ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims  4)⋈ Claim(s) 1-19 is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.  5)⋈ Claim(s) 1-19 is/are allowed.  6)□ Claim(s) is/are objected to.  7)□ Claim(s) is/are objected to.  8)□ Claim(s) are subject to restriction and/or election requirement.  Application Papers  9)⋈ The specification is objected to by the Examiner.  10)⋈ The drawing(s) filed on @9 May 2005 is/are: a)□ accepted or b)⋈ objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.55(a).  Replacement drawing sheel(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d  11)□ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  Priority under 35 U.S.C. § 119  12)⋈ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a)⋈ All b)□ Some * c)□ None of:  1.⋈ Certified copies of the priority documents have been received in Application No  3.□ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).	WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  Estimation of time may be available under the provisions of 3 (FER 1306). In no event, however, may a reply be timely fitted after SIX (6) MORTHS from the mailing date of this communication.  If NO period or mply is specified above, the maximum statutory period will apply and will expire SIX (6) MORTHS from the mailing date of this communication.  Failure to reply within the set or extended period for reply with Ly stantise, cause the application to become ABANDONED (3S U.S.C.§ 133). Any reply received by the Office later than three months after the mailing date of this communication, even if themy filled, may reduce any
2a ☐ This action is FINAL.  2b ☑ This action is non-final.  3 ☑ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims  4 ☑ Claim(s) 1-19 is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.  5 ☑ Claim(s) 1-19 is/are allowed.  6 ☐ Claim(s) is/are objected to.  7 ☐ Claim(s) is/are objected to.  8 ☐ Claim(s) is/are objected to.  9 ☐ Claim(s) is/are objected to by the Examiner.  10 ☐ The specification is objected to by the Examiner.  10 ☐ The drawing(s) filed on 09 May 2005 is/are: a) ☐ accepted or b) ☑ objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.55(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d  11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  Priority under 35 U.S.C. § 119  12 ☑ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) ☑ All b ☐ Some * c) ☐ None of:  1. ☑ Certified copies of the priority documents have been received in Application No  3. ☐ Copies of the certified copies of the priority documents have been received in Application No	Status
4)  Claim(s) 1-19 is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.  5]  Claim(s) 1-19 is/are allowed.  6]  Claim(s) is/are rejected.  7]  Claim(s) is/are objected to.  8]  Claim(s) is/are objected to.  8]  Claim(s) are subject to restriction and/or election requirement.  Application Papers  9] The specification is objected to by the Examiner.  10) The drawing(s) filed on 09 Mav 2005 is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a), Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  Priority under 35 U.S.C. § 119  12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some * c) None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).	2a) ☐ This action is FINAL. 2b) ☑ This action is non-final.  3) ☑ Since this application is in condition for allowance except for formal matters, prosecution as to the merits i
4a) Of the above claim(s) is/are withdrawn from consideration.  5]	Disposition of Claims
9) ☐ The specification is objected to by the Examiner.  10) ☐ The drawing(s) filed on @9 May 2005 is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a),  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d  11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  Priority under 35 U.S.C. § 119  12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) ☐ All b) ☐ Some * c) ☐ None of:  1. ☐ Certified copies of the priority documents have been received.  2. ☐ Copies of the certified copies of the priority documents have been received in Application No  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).	4a) Of the above claim(s) is/are withdrawn from consideration.  5) \[ \times \text{Claim(s)} \frac{1-9}{2} \text{ is/are allowed.} \]  6) \[ \text{Claim(s)} \frac{1}{2} \text{ is/are rejected.} \]  7) \[ \text{Claim(s)} \frac{1}{2} \text{ is/are objected to.} \]
10) ☐ The drawing(s) filed on <u>09 May 2005</u> is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a),  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  Priority under 35 U.S.C. § 119  12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) ☐ All b) ☐ Some * c) ☐ None of:  1. ☐ Certified copies of the priority documents have been received.  2. ☐ Certified copies of the priority documents have been received in Application No  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).	Application Papers
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b	10) ☑ The drawing(s) filed on <u>09 Mav 2005</u> is/are: a) ☐ accepted or b) ☑ objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(
a) □ All b) □ Some * c) □ None of:  1. □ Certified copies of the priority documents have been received.  2. □ Certified copies of the priority documents have been received in Application No.  3. □ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).	Priority under 35 U.S.C. § 119
	a)⊠ All b)

Attachment(s) 1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413) Paper No(s)/Mail Date. \_\_ Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/Sb/08) 5) Notice of Informal Patent Application. Paper No(s)/Mail Date 5/9/05. 6) Other:

Application/Control Number: 10/534,350 Page 2

Art Unit: 2615

### DETAILED ACTION

## Specification

The abstract of the disclosure does not commence on a separate sheet in accordance with 37 CFR 1.52(b)(4). A new abstract of the disclosure is required and must be presented on a separate sheet, apart from any other text.

Applicant is reminded of the proper language and format for an abstract of the disclosure.

The abstract should be in narrative form and generally limited to a single paragraph on a separate sheet within the range of 50 to 150 words. It is important that the abstract not exceed 150 words in length since the space provided for the abstract on the computer tape used by the printer is limited. The form and legal phrascology often used in patent claims, such as "means" and "said," should be avoided. The abstract should describe the disclosure sufficiently to assist readers in deciding whether there is a need for consulting the full patent text for details.

The language should be clear and concise and should not repeat information given in the title. It should avoid using phrases which can be implied, such as, "The disclosure concerns," "The disclosure defined by this invention," "The disclosure describes," etc.

The following guidelines illustrate the preferred layout for the specification of a utility application. These guidelines are suggested for the applicant's use.

## Arrangement of the Specification

As provided in 37 CFR 1.77(b), the specification of a utility application should include the following sections in order. Each of the lettered items should appear in upper case, without underlining or bold type, as a section heading. If no text follows the section heading, the phrase "Not Applicable" should follow the section heading:

- (a) TITLE OF THE INVENTION.
- (b) CROSS-REFERENCE TO RELATED APPLICATIONS.
- (c) STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT.
- (d) THE NAMES OF THE PARTIES TO A JOINT RESEARCH AGREEMENT.
- (e) INCORPORATION-BY-REFERENCE OF MATERIAL SUBMITTED ON A COMPACT DISC.
- (f) BACKGROUND OF THE INVENTION.
  - (1) Field of the Invention.

Application/Control Number: 10/534,350 Page 3

Art Unit: 2615

(2) Description of Related Art including information disclosed under 37 CFR 1.97 and 1.98.

- (g) BRIEF SUMMARY OF THE INVENTION.
- (h) BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING(S).
- (i) DETAILED DESCRIPTION OF THE INVENTION.
- (i) CLAIM OR CLAIMS (commencing on a separate sheet).
- (k) ABSTRACT OF THE DISCLOSURE (commencing on a separate sheet).

# Content of Specification

- (a) <u>Title of the Invention</u>: See 37 CFR 1.72(a) and MPEP § 606. The title of the invention should be placed at the top of the first page of the specification unless the title is provided in an application data sheet. The title of the invention should be brief but technically accurate and descriptive, preferably from two to seven words may not contain more than 500 characters.
- (b) <u>Cross-References to Related Applications</u>: See 37 CFR 1.78 and MPEP § 201.11.
- (c) <u>Statement Regarding Federally Sponsored Research and Development</u>: See MPEP § 310.
- (d) The Names Of The Parties To A Joint Research Agreement: See 37 CFR 1.71(g).
- (e) Incorporation-By-Reference Of Material Submitted On a Compact Disc: The specification is required to include an incorporation-by-reference of electronic documents that are to become part of the permanent United States Patent and Trademark Office records in the file of a patent application. See 37 CFR 1.52(e) and MPEP § 608.05. Computer program listings (37 CFR 1.96(e)), "Sequence Listings" (37 CFR 1.821(c)), and tables having more than 50 pages of text were permitted as electronic documents on compact discs beginning on September 8, 2000.
- (f) <u>Background of the Invention</u>: See MPEP § 608.01(c). The specification should set forth the Background of the Invention in two parts:
  - Field of the Invention: A statement of the field of art to which the
    invention pertains. This statement may include a paraphrasing of the
    applicable U.S. patent classification definitions of the subject matter of the
    claimed invention. This item may also be titled "Technical Field."
  - (2) Description of the Related Art including information disclosed under 37 CFR 1.97 and 37 CFR 1.98: A description of the related art known to the applicant and including, if applicable, references to specific related art and

Application/Control Number: 10/534,350 Page 4

Art Unit: 2615

problems involved in the prior art which are solved by the applicant's invention. This item may also be titled "Background Art."

- (g) Brief Summary of the Invention: See MPEP § 608.01(d). A brief summary or general statement of the invention as set forth in 37 CFR 1.73. The summary is separate and distinct from the abstract and is directed toward the invention rather than the disclosure as a whole. The summary may point out the advantages of the invention or how it solves problems previously existent in the prior art (and preferably indicated in the Background of the Invention). In chemical cases it should point out in general terms the utility of the invention. If possible, the nature and gist of the invention or the inventive concept should be set forth. Objects of the invention should be treated briefly and only to the extent that they contribute to an understanding of the invention.
- (h) Brief Description of the Several Views of the Drawing(s): See MPEP § 608.01(f).
   A reference to and brief description of the drawing(s) as set forth in 37 CFR 1.74.
- (i) <u>Detailed Description of the Invention:</u> See MPEP § 608.01(g). A description of the preferred embodiment(s) of the invention as required in 37 CFR 1.71. The description should be as short and specific as is necessary to describe the invention adequately and accurately. Where elements or groups of elements, compounds, and processes, which are conventional and generally widely known in the field of the invention described and their exact nature or type is not necessary for an understanding and use of the invention by a person skilled in the art, they should not be described in detail. However, where particularly complicated subject matter is involved or where the elements, compounds, or processes may not be commonly or widely known in the field, the specification should refer to another patent or readily available publication which adequately describes the subject matter.
- (j) Claim or Claims: See 37 CFR 1.75 and MPEP § 608.01(m). The claim or claims must commence on separate sheet or electronic page (37 CFR 1.52(b)(3)). Where a claim sets forth a plurality of elements or steps, each element or step of the claim should be separated by a line indentation. There may be plural indentations to further segregate subcombinations or related steps. See 37 CFR 1.75 and MPEP § 608.01(i)-(p).
- (k) <u>Abstract of the Disclosure</u>: See MPEP § 608.01(f). A brief narrative of the disclosure as a whole in a single paragraph of 150 words or less commencing on a separate sheet following the claims.

The disclosure is objected to because of the following informalities: Page 1, paragraph 1,

line 2 contains the phrase "according to the preambles of the corresponding independent patent

claims." and page 2, paragraph 3, line 2 contains the phrase "as are described in the patent claims." References to claim material should be disclosed in detail and not relied upon as reference to claim material as this material may be amended during prosecution.

Page 8, paragraph 4 appears to have a typographical error. Line 3 refers to "two channel elements 31./2" and line 4 refers to "channel element parts 3.1/2." The examiner believes line 3 is in error.

Appropriate correction is required.

## Drawings

The drawings are objected to as failing to comply with 37 CFR 1.84(p)(5) because they include the following reference character(s) not mentioned in the description: See figure 4, element 1/4. Corrected drawing sheets in compliance with 37 CFR 1.121(d), or amendment to the specification to add the reference character(s) in the description in compliance with 37 CFR 1.121(b) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

#### Allowable Subject Matter

The following is an examiner's statement of reasons for allowance: The present invention is directed to a hearing aid and method of manufacturing a hearing aid with a casting set. Independent claim 1 identifies the uniquely distinct feature of a component set for the manufacture of a hearing aid comprising a central casting space element with an inner and outer ring and a flexible tube-like and expandable membrane which extends between the two rings and which radially delimits the casting cavity to the outside in combination with all the disclosed limitations of claim 1. Independent claim 12 identifies the uniquely distinct feature of a method to manufacture a hearing aid comprising the component set of claim 1 including all the limitations of claims 1 and 12. Independent claim 13 identifies the uniquely distinct feature of a hearing aid comprising an individual part which comprises a cast shape body adapted to the auditory canal, with a membrane extending over its peripheral surface from an outer ring to an inner ring in combination with all the disclosed limitations of claim 13. the closest prior art, Aebi et al. (US 5530763) discloses a cast body with an upper closing element and a lower closing element and a membrane extending over the cast body but fails to teach a central casting space element with an inner and outer ring; Clavadetscher et al. (US 6097826) discloses a cast body with an upper closing element and a lower closing element and a membrane extending over the cast body but fails to teach a central casting space element with an inner and outer ring; and Clavadetscher et al. (US 6249587) discloses a cast body with an upper closing element and a lower closing element and a membrane extending over the cast body but fails to teach a central casting space element with an inner and outer ring. The prior art fails to anticipate or render the independent claims obvious.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance"

#### Conclusion

This application is in condition for allowance except for the following formal matters:

Errors in the drawing and specification as detailed above.

Prosecution on the merits is closed in accordance with the practice under Ex parte Quayle, 25 USPQ 74, 453 O.G. 213, (Comm'r Pat. 1935).

A shortened statutory period for reply to this action is set to expire **TWO MONTHS** from the mailing date of this letter.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Brian Ensey whose telephone number is 571-272-7496. The examiner can normally be reached on Monday - Friday 6:00 AM - 2:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Suhan Ni can be reached on 571-272-7505. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Any response to this action should be mailed to:

Commissioner of Patents and Trademarks P.O. Box 1450 Alexandria, Va. 22313-1450

Or faxed to:

(571) 273-8300, for formal communications intended for entry and for informal or draft communications, please label "PROPOSED" or "DRAFT".

Hand-delivered responses should be brought to:

Customer Service Window Randolph Building 401 Dulany Street Arlington, VA 22314

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <a href="http://pair-direct.uspto.gov">http://pair-direct.uspto.gov</a>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Brian Ensey/ Primary Examiner, Art Unit 2615 June 17, 2008